

Draft Recommendation for Space Data System Standards

OPEN ARCHIVAL INFORMATION SYSTEM INTEROPERABILITY FRAMEWORK (OAIS-IF) ARCHITECTURE DESCRIPTION

PROPOSED DRAFT RECOMMENDED STANDARD

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FOREWORD

This document is a draft technical Recommended Standard for use in developing and maintaining broader consensus on what is required for an archive to provide permanent, or indefinite long term, preservation of digital information.

This draft Recommended Standard establishes a framework of specifications that forms the basis for the Open Archival Information System (OAIS) Interoperability Framework (IF). OAIS is a long-established Process Framework (PF) to enable digital preservation in trustworthy archives. The OAIS-IF supplements OAIS with interoperable technical specifications that will allow interoperability between users and multiple archives, and between multiple archives. The OAIS-IF is not required for an archive to cite compliance with OAIS.

OAIS provides a basis for further standardization within an archival context. OAIS-IF is an example of that further standardization.

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PREFACE

This document is a draft CCSDS Recommended Standard. Its 'Blue Book' status indicates that the CCSDS believes the document to be technically mature and has released it for formal review by appropriate technical organizations. As such, its technical contents are not stable, and several iterations of it may occur in response to comments received during the review process.

Implementers are cautioned **not** to fabricate any final equipment in accordance with this document's technical content.



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1 INTRODUCTION

1.1 PURPOSE AND SCOPE

The purpose of this document is to define the CCSDS and International Organization for Standardization (ISO) **Open Archival Information System** (OAIS) Interoperability Framework (IF). An OAIS is an Archive, consisting of an organization, which may be part of a larger organization, of people and systems, that has accepted the responsibility to preserve information and make it available for a **Designated Community**. The OAIS-IF is a supplement to that overarching standard that adds capabilities for system interoperability between users and archives, and between coordinating archives. This document outlines a data system architectural approach and a set of specifications for interfaces required for interoperability and that are visible to Producers and Consumers. This standard is the Architecture Description document that sets the overall architectural framework for the OAIS-IF suite of standards.

The OAIS-IF is an implementable framework for digital repositories that enables international and collaborative research. Its aim is to provide a set of interoperable protocols and interface specifications that will enable the access and re-use of the data, both within and across the operational boundaries of trusted digital repositories. The OAIS-IF is designed to be effectively applied broadly across a spectrum of small, medium, and large use cases and involving a wide variety of stakeholders.

Implementers and system developers that plan to develop systems compliant with the OAIS-IF suite of standards should have a solid grasp of the precepts, concepts and terminology of the Reference Model for an OAIS as described in CCSDS 650.0-M-2.

The information being maintained in these Archives has been deemed to need **Long Term Preservation**, even if the OAIS itself is not permanent. **Long Term** is long enough to be concerned with the impacts of changing technologies, as well as support for new media and data formats, or with a changing Knowledge Base of the Designated Community or changes within the Designated Community or its definition. Long Term may extend indefinitely. Further treatment of the scope of Long Term preservation is in the RM for OAIS, CCSDS 650.0-M-2.-

In terms of scope, this Architecture Description Document is intended to specify normative requirements only for the OAIS-IF components of an OAIS. To describe the overall architecture it also describes components in the client (producer or consumer) systems and in the OAIS Archive "below" the OAIS-IF components. However, these are intended to illuminate the core assumptions behind the architecture design, and not specify any components in the client systems, nor archive components external to the OAIS-IF. The interfaces between the OAIS-IF and external functions are the key assets specified to achieve interoperability across those interfaces. They are fully specified and normative in this document. However, underlying functions below the interfaces within the client or archive systems may be developed differently than this description as long as they support the specified normative functions of the interoperable interfaces.

1.2 APPLICABILITY

Like the OAIS Reference Model in CCSDS 650.0-M-2, this document may be applicable to any Archive that complies with that OAIS standard as well as any archive that wishes to interoperate using the standard. It is specifically applicable to organizations with the responsibility of making information available for the Long Term. This includes organizations with other responsibilities, such as processing and distribution in response to programmatic needs.

This architecture is specifically designed to supplement OAIS Archives. However, this architecture or components of it may be used by archives that are partially or fully non-compliant to the Reference Model for OAIS. The authors of this standard cannot guarantee that these technical approaches will work to fulfill objectives of archives that are not fully OAIS compliant. It is hoped that in these cases partial implementation of the OAIS-IF will encourage greater adoption of the RM for OAIS as archives learn the value of the OAIS practices that enable truly trustworthy Archives for preserving valuable information.

It is intended that the functionality and components in OAIS-IF will exactly mirror the content of the RM for OAIS. However, since these are two separate documents with updates released at different times and different approval cycles, it may be that new functions can be added to OAIS-IF that are not yet in the RM for OAIS. Likewise, there may be new functions in OAIS that are not yet in the OAIS-IF. The intention is to keep the OAIS RM practice and the OAIS-IF specification as closely aligned as possible. However, perfect alignment may not be possible at every given point in time.

These specifications, including the functional and information modeling concepts, are relevant to the comparison and design of facilities which hold information, on a temporary basis, for three reasons:

- When taking into consideration the rapid pace of technology changes or possible changes in a Designated Community, there is the likelihood that facilities, thought to be holding information on a temporary basis, will in fact find that some or much of their information holdings will need Long Term Preservation attention. Stable OAIS-IF standards will help abate the disruption of technology changes.
- Although some facilities holding information may themselves be temporary, some or all of their information may need to be preserved indefinitely. Such facilities need to become active participants in the Long Term Preservation effort and adoption of OAIS-IF will facilitate that transition.
- Regardless of preservation objectives, this architecture enables interoperability for efficiency benefits, preservation benefits, and cross-discipline research benefits.

1.3 OAIS-IF STAKEHOLDERS

In a broad sense, OAIS-IF has applicability to the following stakeholders. This is not an exclusive list, but is intended to illustrate how the document should be of interest to key organization participants.

- Any organization who has implemented or plans to implement an OAIS-compliant system. Not all OAIS-compliant systems will have OAIS-IF capabilities. Indeed, as this first version of OAIS-IF is released, none of the OAIS systems in the world will be OAIS-IF compliant. But OAIS implementers should evaluate the benefits to themselves and their customers from implementing an OAIS-IF compliant interoperable archive. Therefore, they have a stake in OAIS-IF.
- **Managers**, who we assume are key decision makers and determine technology adoption and use. We use the Manager stakeholder broadly for anyone who sets overall OAIS policy.
- **Application Software Developers**, who are those responsible for providing software at an application level (i.e. software implementing any of the six functional entities¹ of an OAIS). Application software is likely to be repository-specific.
- Infrastructure Software Developers, who are those responsible for providing the underlying software framework or environment which may be used by application software developers. This software is much less likely to be repository specific. The distinction between application and infrastructure is not necessarily exact but the separation from application software is useful in identifying the parts of OAIS-IF that form part of the underling infrastructure and are more likely to be reused from repository to repository.

1.4 RATIONALE

The rationale for OAIS and the Reference Model for OAIS is captured in CCSDS 650.0-M-2.

The rationale for the OAIS Interoperability Framework includes the rationale for OAIS (not repeated here) because it supports OAIS by augmenting it with capabilities for interoperability.

The rationale and vision for OAIS-IF is that in the long-range future it will provide:

- A common user interface experience for users (providers and consumers) of OAIS Archives when accessing many diverse kinds of archives through the OAIS-IF.
- An efficient standardized way for archives to exchange data between archives using the same standardized OAIS-IF interfaces.
- Given broad acceptance of OAIS-IF in the OAIS community, a better chance that long-term preservation will work because future generations can easily find the interfacing resources (plug-ins, etc.) that can be used to access legacy archives.
- Enhanced capabilities for cross-discipline research when many different disciplines use the same interface, and access to a new archive outside of their Designated Community can be accomplished via OAIS-IF.

1.5 CONFORMANCE

An Archive may conform to the Reference Model for OAIS without conforming to the OAIS-IF.

An OAIS Archive that also conforms to OAIS-IF must implement the normative sections of this document, namely sections 3 and 4.

While the OAIS Reference Model does not define or require any particular method of implementation, the OAIS-IF must necessarily bound some implementation options in order to insure interoperability. However, the goal of OAIS-IF is to only limit implementation options necessary for interoperability. This is intended to restrict implementation at the interface of systems, but those interfaces are usually characterized to support underlying functionality as required by the OAIS Reference Model. Therefore the definitions at the interfaces and protocols may necessarily imply some underlying functionality as part of the OAIS-IF suite of standards. As described in section 1.1, Purpose and Scope, the description of that functionality outside the OAIS-IF is not normative, and may be implemented in different ways, as long as it supports the specified normative functionality for that interface.

A conformant OAIS-IF Archive may provide additional services that are beyond those required of the OAIS-IF standards.

This document does not assume or endorse any specific computing platform, system environment, system design paradigm, system development methodology, database management system, database design paradigm, data definition language, technology, or media required for implementation.

The OAIS-IF is designed as an interoperability framework to support the development of interoperability between archives, both OAIS Archives and non-OAIS archives, using the OAIS-IF standard. As such, it attempts to address all the major activities of an *interoperable* information-preserving Archive in order to define a consistent and useful set of interoperability terms and concepts. A standard or other document that claims to be conformant to the OAIS-IF shall use the terms and concepts defined in the OAIS-IF in the same manner.

1.6 DOCUMENT STRUCTURE

1.6.1 ORGANIZATION BY SECTION

A general description of this document's sections are:

- Section 1 *Purpose and Scope* describes the problem space and rationale for OAIS-IF, and advice on what to expect from the document organization and conventions.
- Section 2 *Overview* provides an informative (non-normative) explanation of the relationships between OAIS-IF components and between them and the environment..
- Section 3 *Interoperability Framework* is a normative description of the requirements on the components of an OAIS-IF architecture. It presents the technical concepts that OAIS-IF uses in order to perform the functions of an OAIS in an interoperable way.
- (Add explanation of annexes once they are solidified)

This Blue Book begins with a description of the context for the creation of OAIS-IF in the form of the motivation and rationale for the framework. Further sections in this Blue Book then offer greater levels

of detail about OAIS-IF generated directly from a formal model of the OAIS-IF. This detailed information is presented using the object-oriented paradigm. Each class, attribute, and relationship is formally defined using text and UML diagrams. It is anticipated that these sections will be primarily applicable to system developers but will be of interest to other stakeholders.

It is expected that after this document is approved and published by CCSDS, the model will be made available online by CCSDS. This should be a valuable aid to system developers of OAIS-IF systems.

1.6.2 TYPOGRAPHICAL CONVENTIONS

There are many terms which are used in this framework and which need to have well-defined meanings. These terms are defined in subsection 1.6.2. When first used in the text, they are shown in bold and are capitalized. Subsequent use employs capitalization only. Because of their extensive use in this document, the defined terms 'data' and 'information' will not always be capitalized unless they are part of another defined term. The defined term 'archive' will not be capitalized unless it is used as the equivalent of an 'OAIS Archive'.

Many diagrams are included throughout this reference model, primarily in Sections 4 and 6. In text discussing the diagrams, block names are capitalized and flows are italicized.

1.7 **DEFINITIONS**

1.7.1 ACRONYMS AND ABBREVIATIONS

AIC Archival Information Collection

AIP Archival Information Package

AIU Archival Information Unit

API Application Programming Interface

ASCII American Standard Code for Information Interchange

CCSDS Consultative Committee for Space Data Systems

CD-ROM Compact Disk - Read Only Memory

CDO Content Data Object

CRC Cyclic Redundancy Check

CSV Comma Separated Value

DBMS Data Base Management System

DIP Dissemination Information Package

DRM Digital Rights Management

FITS Flexible Image Transport System

FTP File Transfer Protocol

HFMS Hierarchical File Management System

IEEE Institute of Electrical and Electronics Engineers

IETF Internet Engineering Task Force

ISBN International Standard Book Number

ISO International Organization for Standardization

MPEG Moving Picture Experts Group

OAIS Open Archival Information System

PDF Portable Document Format

PDI Preservation Description Information

QA Quality Assurance

RFC Request For Comment

SIP Submission Information Package

UML Unified Modeling Language

VHS Video Home System

WWW World Wide Web

XFDU XML Formatted Data unit

XML eXtensible Markup Language

1.7.2 TERMINOLOGY

There are many terms which are used in this standard and which need to have well-defined meanings. These terms are defined in this subsection. When first used in the text, they are shown in bold and are capitalized. Subsequent use employs capitalization only.

This standard is applicable to all disciplines and organizations that do, or expect to, preserve and provide information in digital form, these terms cannot match all of those familiar to any particular discipline (e.g., traditional archives, digital libraries, science data centers). Rather, the approach taken is to use terms that are not already overloaded with meaning so as to reduce conveying unintended meanings. Therefore, it is expected that all disciplines and organizations will find that they need to map some of their more familiar terms to those of the OAIS Reference Model and OAIS-IF. This should not be difficult and is viewed as a contribution, rather than a deterrent, to the success of these standards. For example, archival science focuses on preservation of the 'record'. This term is not used in these standards, but one mapping might approximately equate it with 'Content Data Object within an Archival Information Package'.

TERMS TO BE SUPPLIED (Probably after current OAIS Red Book is published)

1.8 REFERENCES

The following publications contain provisions which, through reference in this text, constitute provisions of this document. At the time of publication, the editions indicated were valid. All publications are subject to revision, and users of this document are encouraged to investigate the possibility of applying the most recent editions of the publications indicated below. The CCSDS Secretariat maintains a register of currently valid CCSDS publications.

[Only references required as part of the specification are listed in the References subsection. See CCSDS A20.0-Y-4, CCSDS Publications Manual (Yellow Book, Issue 4, April 2014) for additional information on this subsection.]

Reference Model for an Open Archival Information System (OAIS). Magenta Book. CCSDS 650.0-M-2 Issue 2. June 2012. (to be changed to Issue 3 when issue 3 is released)

Audit and Certification of Trustworthy Digital Repositories. Magenta Book. Recommended Practice CCSDS 652.0-M-1. September 2011. (to be changed when issue 3 is released)

OTHER REFERENCES TO BE SUPPLIED

2 OVERVIEW

The following concepts set the context for normative definitions starting in section 3.

2.1 OAIS INTEROPERABILITY FRAMEWORK (OAIS-IF)

An OAIS Archive is an organization that intends to preserve information for access and use by a Designated Community.

An Open Archival Information System (OAIS) is an Archive, an organization that intends to preserve information for access and use by a Designated Community.. It meets a set of responsibilities that allows an OAIS Archive to be distinguished from other uses of the term 'Archive'.

The OAIS Interoperability Framework (OAIS-IF) is a framework based on the concepts presented in the OAIS Reference Model (RM) and augmented with features designed during several decades of digital archive development. The OAIS-IF is designed to be implementable and is an interoperable framework that fosters the acquisition, stewardship, and continuing access to data products, related information resources, and services for a Designated Community.

The environment surrounding an OAIS includes Management, Consumers, and Producers. The resulting environment of the OAIS-IF is illustrated in figure 1.

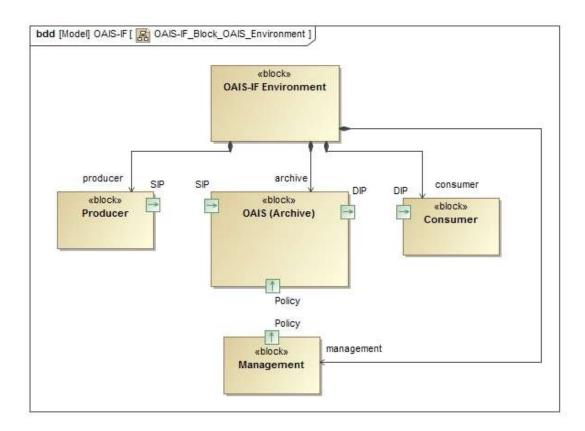


Figure 1 - OAIS Environment

Management is the role played by those who set overall OAIS policy as one component in a broader policy domain, for example as part of a larger organization.

Producer is the role played by those persons or client systems that provide the information to be preserved. This can include other OAISes or internal OAIS persons or systems. A Producer creates a Submission Information Package(s) (SIPs) and submits it to the Archive where it is processed into one or more Archival Information Packages (AIPs).

A Consumer is the role played by those persons, or client systems, who interact with OAIS services to find preserved information of interest and to access that information. A Consumer receives a Dissemination Information Package(s) (DIP) from the Archive.

2.2 OAIS FUNCTIONAL ENTITIES

Within an OAIS (Archive), an OAIS Functional Entity is an entity responsible for an operational function in a specific part of an Open Archive Information System (OAIS). The OAIS functional entities include Access, Administration, Archival Storage, Data Management, Ingest, and Preservation Planning. The OAIS Interoperability Framework, being based on the OAIS Reference Model, has two additional functional entities the Archive Abstraction Layer and the Analytics Platform.

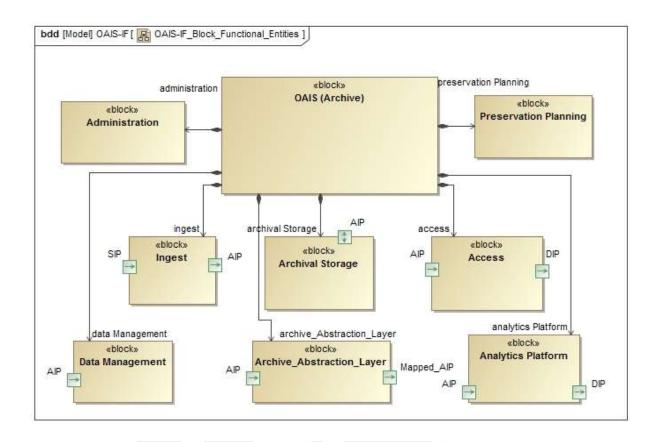


Figure 2 - OAIS Functional Entities

The Access Functional Entity (aka Access) contains the services and functions which make the archival information holdings and related services visible to Consumers. Access generates and provides a DIP to a Consumer, produces a Query Response for a Consumer, and provides Report Assistance to a Consumer.

The Administration Functional Entity (aka Administration) contains the services and functions needed to control the operation of the other OAIS functional entities on a day-to-day basis. For Consumers and Producers Administration sends Information Requests, Bills and Special Request Responses to Consumers. Final Ingest Report and possible liens are sent to a Producer.

The Archival Storage Functional Entity (aka Archival Storage) contains the services and functions used for the storage and retrieval of Archival Information Packages.

The Data Management Functional Entity (aka Data Management) contains the services and functions for populating, maintaining, and accessing a wide variety of information. Some examples of this information are catalogs and inventories on what may be retrieved from

Archival Storage, processing algorithms that may be run on retrieved data, Consumer access statistics, Consumer billing, Event Based Orders, security controls, and OAIS schedules, policies, and procedures.

The Ingest Functional Entity (aka Ingest) contains the services and functions that accept Submission Information Packages from Producers, prepares Archival Information Packages for storage, and ensures that Archival Information Packages and their supporting Descriptive Information become established within the OAIS. Ingest sends Receipt Confirmation to a Producer.

The Preservation Planning Functional Entity (aka Preservation Planning) provides the services and functions for monitoring the environment of the OAIS and provides recommendations and preservation plans to ensure that the information stored in the OAIS remains accessible to, and understandable by, and sufficiently usable by, the Designated Community over the Long Term, even if the original computing environment becomes obsolete. Preservation Planning surveys a Consumer and surveys a Producer.

The Archive Abstraction Layer Functional Entity provides a mapping and possible translation between an object class in the OAIS Information Model and an object class in a non-conforming information model. package. For example a Consumer asking for Provenance Information as defined in in the OAIS Information Model could receive information about a derived product, the source products, and processing software that was grouped and classified as processing history in a non-OAIS information package. This is of course if the Archive Abstraction Layer had definitions of the two information models, how their components were related, and how to translate from one to the other if needed.

The Analytical Platform is a unified data analysis solution designed to address the demands of users beyond the data management infrastructure necessary for maintaining a long-term trusted digital repository. In general it provides contextual analyzed data from across the repository and joins different tools for creating analytics systems.

2.3 OAIS INTEROPERABILITY FRAMEWORK DEFINITION

The OAIS Interoperability Framework (OAIS-IF) involves the Producer and Consumer as they perform their roles and interact with the Ingest and Access functional entities of an OAIS. Three viewpoints of the framework are presented, an abstract component architecture, an information model, and an abstract functional interface. The abstract component architecture consists of a hierarchy of three major components: Client, OAIS Interoperability Framework, and OAIS IF Archive. The OAIS Interoperability Framework in turn consists of the Consumer and Producer Interfaces, the OAIS Information Model, the Abstraction Layer, and the Adapter layer.

The OAIS Information Model provides the framework's domain of discourse. The entities defined in the domain of discourse are the objects passed between functional entities of the framework. For example, the OAIS Digital Object is passed between a Consumer and an archive.

The abstract functional interface is defined within the Abstraction Layer and consists of formally interfaces and their operations. These interfaces must be implemented by the functional entities. For example, an Adapter for an archive must implement the Adapter Interface, Message Interface, and the Identifier Interface. Due to inheritance, the Adapter also implements the Information Object Interface.

The Negotiate Interface is used by a Client and the archive to identify one or more implemented Adapters to be used by the Consumer Interface and Producer Interface and the archive to interoperate. Once an Adapter has been set, the Consumer and Producer interoperate via Messages using any implemented protocol. Any entity defined in the Information Model may be passed between any two connected functional entities that implement the Information Object Interface.



3 INTEROPERABILITY FRAMEWORK

3.1 ARCHITECTURAL COMPONENTS

A component in represents a modular part of a system that encapsulates the state and behavior of a set of elements such as attributes or methods. Its behavior is defined in terms of provided and required interfaces, is self-contained, and substitutable. This section is informative.



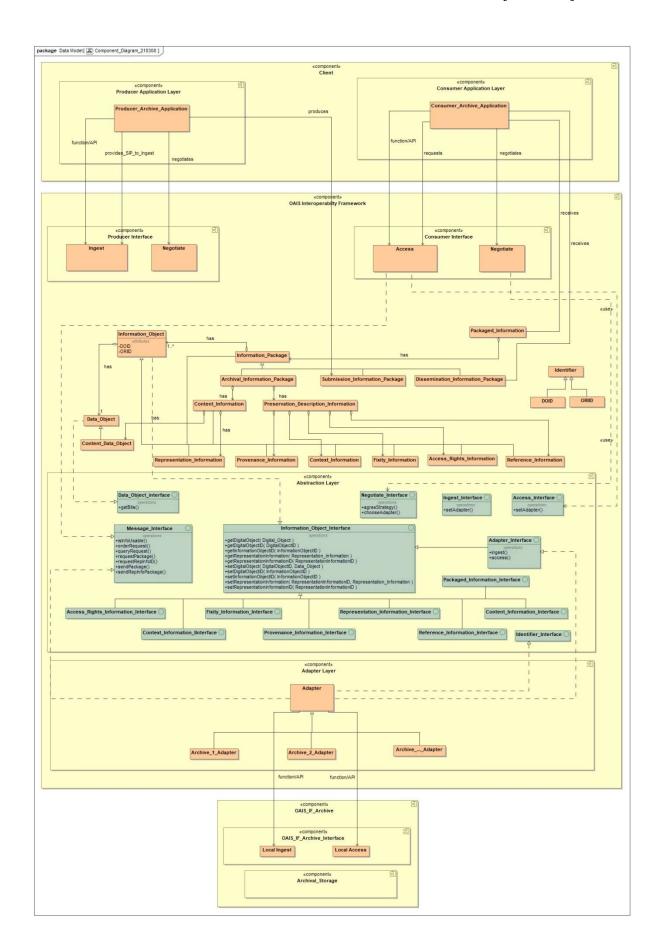


Figure 3 - Component Diagram

3.1.1 ABSTRACTION LAYER

The Abstraction_Layer contains the interfaces, the well-defined entry points that define the contracts for the interoperability framework. The Abstraction Layer class is a subclass of Component. This section is informative.

3.1.2 ARCHIVAL STORAGE

The Archival Storage class is a subclass of Component. This section is informative.

3.1.3 CLIENT

The Client object class represents a computer system or process that requests a service of another computer system or process (a server) using a specific protocol and accepts the server's responses. The Client class is a subclass of Component. This section is informative.

3.1.4 CONSUMER_APPLICATION_LAYER

The Consumer Application Layer object class contains a program or group of programs designed for the Consumer. The Consumer Application Layer component is a subclass of Component and is an element of Client. This section is informative.

3.1.5 CONSUMER INTERFACE

The Consumer Interface object class is a well-defined entry point for consumer services. The Consumer Interface class is a subclass of Component and is an element of the OAIS Interoperability Framework. This section is informative.

3.1.6 OAIS IF ARCHIVE

An OAIS IF Archive is an organization that intends to preserve information for access and use by a Designated Community and acknowledges the OAIS IF can be used to interoperate with other OAIS IF Archives. This section is informative.

3.1.7 OAIS IF ARCHIVE INTERFACE

The OAIS IF Archive Interface is a well-defined entry point for the OAIS_IF_Archive and provides a contract for the exchange of information. This section is informative.

3.1.8 OAIS INTEROPERABILITY FRAMEWORK

The OAIS Interoperability Framework is an abstraction based on the OAIS Functional and Information Models in which software providing generic functionality can be selectively changed by additional user-written code to provide application-specific software that interoperates across digital repositories. This section is normative.

3.1.9 PRODUCER APPLICATION LAYER

The Producer Application Layer object class contains a program or group of programs designed for Producers. The Producer Application Layer component is a subclass of Component and is an element of Client. This section is informative.

3.1.10 PRODUCER_INTERFACE

The Producer Interface object class is a well-defined entry point for producer services. The Producer Interface class is a subclass of Component and is an element of the OAIS Interoperability Framework. This section is informative.

3.2 INFORMATION MODEL

An information model is a representation of concepts and the relationships, constraints, rules, and operations to specify data semantics for a chosen domain of discourse. This section is normative.

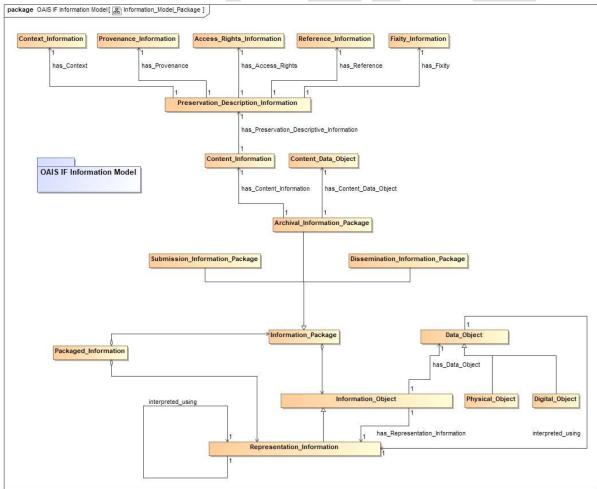


Figure 4 - Information Model

3.2.1 ACCESS RIGHTS INFORMATION

The Access Rights Information object class implements the Access Rights Information Interface. The Access Rights Information class is also a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.2 ARCHIVAL INFORMATION PACKAGE

The Archival Information Package object class is a subclass of the Information Package class and is composed of a Content Information class and a Preservation Description Information (PDI) class. It is also indirectly a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.3 CONTENT_DATA_OBJECT

The Content Data Object is the Data object of Content Information. It has associated Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.4 CONTENT INFORMATION

The Content Information object class is composed of a Content Data Object and a Representation Information class. It implements the Information Object Interface, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. Content Information is further described by Preservation Descriptive Information. This section is normative.

3.2.5 CONTEXT INFORMATION

The Context Information object class implements the Context Information Interface. The Context Information class is also a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.6 DISSEMINATION INFORMATION PACKAGE

The Dissemination Information Package object class is a subclass of the Information Package class and is composed of a Content Information class and a Preservation Description Information (PDI) class. It is also indirectly a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data

Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.7 FIXITY INFORMATION

The Fixity Information object class implements the Fixity Information Interface. The Fixity Information class is also a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.8 INFORMATION OBJECT

The Information Object class is composed of a Data Object and a Representation Information class. It implements the Information Object Interface is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.9 INFORMATION_PACKAGE

The Information Package object class is composed of a Content Information class and a Preservation Description Information (PDI) class. It is a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.10 PRESERVATION DESCRIPTION INFORMATION

The Preservation Description Information object class is composed of Access Rights Information, Context Information, Fixity Information, Provenance Information, and Reference Information. It provides preservation description for Content Information. It is also a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.11 PROVENANCE INFORMATION

The Provenance Information object class implements the Provenance Information Interface. The Provenance Information class is also a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.12 REFERENCE INFORMATION

The Reference Information object class implements the Reference Information Interface. The Reference Information class is also a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.13 REPRESENTATION INFORMATION

The Representation Information object class implements the Representation Information Interface. The Representation Information class is also a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.2.14 SUBMISSION INFORMATION PACKAGE

The Submission Information Package object class is a subclass of the Information Package class and is composed of a Content Information class and a Preservation Description Information (PDI) class. It is also indirectly a subclass of the Information Object class and inherits its properties. It implements the Information Object Interface, is composed of a Data Object and Representation Information, is managed by an Archival Storage functional entity, and is an element of the OAIS Interoperability Framework component. This section is normative.

3.3 ADAPTER LAYER

An Adapter (Binding) is a wrapper library that bridges two programming languages, so that a library written for one language can be used in another language. The adapter interface is normative. The listed adapters are informative.

3.3.1 ADAPTER

The Adapter object class is an abstract class from which specialized adapters are extended. It implements the Adapter Interface which provides access and ingest methods and inherited get and put methods on Data Objects and Representation Information. It also implements the Message Interface which provides a set of standard messages managed through a selected messaging protocol. Finally, it implements the Identifier Interface which has been extended to handle local Identifiers. The Adapter's access and ingest methods can use either programmatic function calls or Application Programming Interfaces (APIs) to invokes Local Access and Ingest functional entities in the Archive interface. It is an element of the Adapter Layer within the OAIS Interoperability Framework component. This section is normative.

3.3.1.1 Interface Adapter Interface

• All Superinterfaces: InformationObjectInterface

public interface AdapterInterface extends <u>InformationObjectInterface</u>

The Adapter Interface is a well-defined entry point and contract for accessing a Digital Object.

METHOD SUMMARY

All Methods Instance Methods Abstract Methods

Modifier and Type	Method and Description	
<u>DigitalObject</u>	access(DigitalObjectID doid)	
void	ingest(DigitalObjectID doid, DigitalObject digitalObject)	

 METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID, setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformation, setRepresentationInformationId

METHOD DETAIL

access

DigitalObject access(DigitalObjectID doid)

- ingest
- void ingest(<u>DigitalObjectID</u> doid, <u>DigitalObject</u> digitalObject)

3.4 INTERFACE

An Interface is the abstraction of a service that only defines the operations supported by that service, but not their implementations. This section is normative.

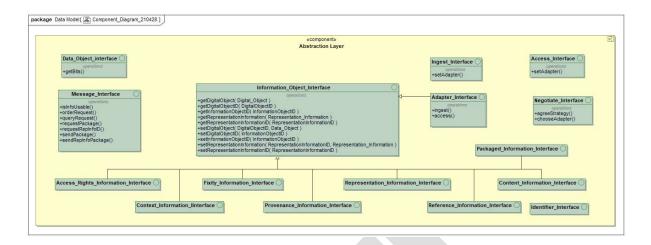


Figure 5 - Abstraction Layer Diagram

3.4.1 ACCESS INTERFACE

The Access Interface is a well-defined entry point for the Access functional entity class. The interface requires a setAdapter method and is an element of the Abstraction Layer component. This section is normative.

3.4.2 ACCESS_RIGHTS_INFORMATION_INTERFACE

The Access Rights Information Interface is a well-defined entry point for accessing Access Rights Information. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.2.1 Interface Access Rights Information Interface

• All Superinterfaces: InformationObjectInterface

public interface AccessRightsInformationInterface extends <u>InformationObjectInterface</u>

The Access Rights Information Interface is a well-defined entry point and contract for accessing Access Rights Information.

METHOD SUMMARY

 METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID,

setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformation, setRepresentationInformationId

3.4.3 ADAPTER INTERFACE

The Adapter Interface is a well-defined entry point for the Adapter object class. The interface requires an access and an ingest method. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. It is an element of the Abstraction Layer component. This section is normative.

3.4.3.1 Interface Adapter Interface

• All Superinterfaces: InformationObjectInterface

public interface AdapterInterface extends InformationObjectInterface

The Adapter Interface is a well-defined entry point and contract for accessing a Digital Object.

METHOD SUMMARY

All Methods Instance Methods Abstract Methods

Type	Method and Description
<u>DigitalObject</u>	access(DigitalObjectID doid)
void	ingest(DigitalObjectID doid, DigitalObject digitalObject)

 METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID, setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformation, setRepresentationInformationId

METHOD DETAIL

- access
 <u>DigitalObject</u> access(<u>DigitalObjectID</u> doid)
- ingest

 void ingest(<u>DigitalObjectID</u> doid, <u>DigitalObject</u> digitalObject)

3.4.4 CONTENT INFORMATION INTERFACE

The Content Information Interface is a well-defined entry point for accessing Content Information. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.4.1 Interface Content Information Interface

• All Superinterfaces: <u>InformationObjectInterface</u>

public interface ContentInformationInterface extends InformationObjectInterface

The Content Information Interface is a well-defined entry point and contract for accessing an Information Object.

- METHOD SUMMARY
 - METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID, setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformationId

3.4.5 CONTEXT INFORMATION INTERFACE

The Context Information Interface is a well-defined entry point for accessing Context Information. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.5.1 Interface Context Information Interface

 All Superinterfaces: <u>InformationObjectInterface</u>

public interface ContextInformationInterface

extends InformationObjectInterface

The Context Information Interface is a well-defined entry point and contract for accessing an Information_Object.

METHOD SUMMARY

METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID, setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformation, setRepresentationInformationId

3.4.6 DIGITAL OBJECT INTERFACE

The Data Object Interface is a well-defined entry point for accessing a Data Object. The interface requires a getBits method and is an element of the Abstraction Layer component. This section is normative.

3.4.6.1 Interface Digital Object Interface

• All Known Implementing Classes: DigitalObject

public interface DigitalObjectInterface

The Digital Object Interface is a well-defined entry point and contract for accessing the raw bytes of a digital object.

METHOD SUMMARY

All Methods Instance Methods Abstract Methods

Modifier and Type Method and Description

byte[] getBytes()

METHOD DETAIL

getBytes
byte[] getBytes()

3.4.7 FIXITY INFORMATION INTERFACE

The Fixity Information Interface is a well-defined entry point for accessing Fixity Information. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.7.1 Interface Fixity Information Interface

• All Superinterfaces: InformationObjectInterface

public interface FixityInformationInterface extends InformationObjectInterface

The Fixity Information Interface is a well-defined entry point and contract for accessing Fixity Information.

METHOD SUMMARY

 METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID, setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformation, setRepresentationInformationId

3.4.8 IDENTIFIER INTERFACE

The Identifier Interface is a well-defined entry point for accessing identification information. The interface requires a setAdapter method and is an element of the Abstraction Layer component. This section is normative.

3.4.8.1 Interface IdentifierInterface

public interface IdentifierInterface
The Identifier Interface is a well-defined entry point and contract for accessing a
Data Object.

3.4.9 INFORMATION OBJECT INTERFACE

The Information Object Interface is a well-defined entry point for accessing an Information Object. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.9.1 Interface InformationObjectInterface

• All Known Subinterfaces:

AccessRightsInformationInterface, AdapterInterface, ContentInformationInterface,

ContextInformationInterface, FixityInformationInterface,

PackagedInformationInterface, ProvenanceInformationInterface,

ReferenceInformationInterface, RepresentationInformationInterface

All Known Implementing Classes:

RepresentationInformationInterfaceImpl

public interface InformationObjectInterface

The Information Object Interface is a well-defined entry point and contract for accessing an Information Object.

METHOD SUMMARY

All Methods Instance Methods Abstract Methods

Modifier and Type	Method and Description
<u>DigitalObject</u>	<pre>getDigitalObject()</pre>
<u>DigitalObjectID</u>	getDigitalObjectID()
<u>InformationObjectID</u>	<pre>getInformationObjectID()</pre>
RepresentationInforma tion	<pre>getRepresentationInformation()</pre>
RepresentationInforma tionID	getRepresentationInformationID()
void	<pre>setDigitalObject(DigitalObjectID doid, DigitalObject digitalObject)</pre>
void	setDigitalObjectID(DigitalObjectID doid)
void	$\underline{setInformationObjectID}(\underline{InformationObjectID} \ oid)$

void

setRepresentationInformation(RepresentationInform

METHOD DETAIL

getDigitalObject

DigitalObject getDigitalObject()

getDigitalObjectID

DigitalObjectID getDigitalObjectID()

- setDigitalObject
- void setDigitalObject(<u>DigitalObjectID</u> doid, <u>DigitalObject</u> digitalObject)
- setDigitalObjectID

void setDigitalObjectID(DigitalObjectID doid)

getInformationObjectID

InformationObjectID getInformationObjectID()

setInformationObjectID

void setInformationObjectID(InformationObjectID oid)

getRepresentationInformation

RepresentationInformation getRepresentationInformation()

getRepresentationInformationID

<u>RepresentationInformationID</u> getRepresentationInformationID()

- setRepresentationInformation
- void setRepresentationInformation(RepresentationInformationID oriid,

RepresentationInformation representationInformation)

- setRepresentationInformationId
- void setRepresentationInformationId(<u>RepresentationInformationID</u>) ori
 id,

RepresentationInformation representationInformation)

3.4.10 INGEST INTERFACE

The Ingest Interface is a well-defined entry point for the Ingest functional entity class. The interface requires a setAdapter method and is an element of the Abstraction Layer component. This section is normative.

3.4.11 MESSAGE_INTERFACE

The Message Interface is a well-defined entry point for the Message object class. The interface requires the following methods: agreeStrategy, getReceiver, getSender, isInfoUsable, orderRequest, queryRequest, requestPackage, requestRepInfoIDs, and sendPackagethod. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.11.1 Interface Message Interface

public interface MessageInterface

•

METHOD SUMMARY

All Methods Instance Methods Abstract Methods

Modifie r and Type	Method and Description
void	agreeStrategy(RepresentationInformationID oriid1, RepresentationInformationID oriid2, RepresentationInformationID oriid3)
void	getReceiver(Identifier id1, Identifier id2)
void	getSender(Identifier id1, Identifier id2)
void	<u>isInfoUsable(InformationObject</u> io, <u>RepresentationInformationID</u> oriid, boolean isUseful)
void	orderRequest(Identifier id)
void	queryRequest(Identifier id1, Identifier id2)

void requestPackage(Identifier id1, Identifier id2, int num, Identifier id3)

void requestRepresentationInformationIDs(RepresentationInformationID oriid)

void sendPackage(PackagedInformation pi, Identifier i1, Identifier i2)

void sendRepresentationInformationPackage(RepresentationInformationI

D oriid, PackagingInformation pi, PackagedInformation pdi)

METHOD DETAIL

- agreeStrategy
- void agreeStrategy(RepresentationInformationID oriid1,
- <u>RepresentationInformationID</u> oriid2,
 <u>RepresentationInformationID</u> oriid3)
- getReceiver
- void getReceiver(<u>Identifier</u> id1, <u>Identifier</u> id2)
- getSender
- void getSender(<u>Identifier</u> id1, <u>Identifier</u> id2)
- isInfoUsable
- void isInfoUsable(InformationObject io,
- <u>RepresentationInformationID</u> oriid, boolean isUseful)
- orderRequest

void orderRequest(<u>Identifier</u> id)

- queryRequest
- void queryRequest(<u>Identifier</u> id1, <u>Identifier</u> id2)
- requestPackage
- void requestPackage(Identifier id1,
- Identifier id2,
- int num,
 Identifier id3)
- requestRepresentationInformationIDs

 $void\ request Representation Information IDs (\underline{Representation Information} \underline{ID}\ oriid)$

- sendPackage
- void sendPackage(<u>PackagedInformation</u> pi,
- <u>Identifier</u> i1,
 Identifier i2)
- sendRepresentationInformationPackage
- void sendRepresentationInformationPackage(<u>RepresentationInformationID</u> oriid,
- PackagingInformation pi,
 PackagedInformation pdi)

3.4.12 MESSAGE_SERVICE_INTERFACE

The Message Service Interface is a well-defined entry point and contract for the expected behaviour of both service providers and users of a service. The operations of the interface are triggered by the exchange of messages in predefined interaction patterns. The class has Send, Request, Submit, Invoke, Progress, and PublishSubscribe methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.12.1 Interface Message Service Interface

public interface MessageServiceInterface

METHOD SUMMARY

All Methods Instance Methods Abstract Methods

Modifier and Type	Method and Description
<u>Identifier</u>	<pre>invoke (Identifier digitalObjectId)</pre>
Identifier	<pre>progress(Identifier digitalObjectId)</pre>
<u>Identifier</u>	<pre>publishSubscribe (Identifier digitalObjectId)</pre>
Identifier	<pre>request(Identifier digitalObjectId)</pre>
void	<pre>send(Identifier digitalObjectId)</pre>
ACK	<pre>submit(Identifier digitalObjectId)</pre>

METHOD DETAIL

send

void send(Identifier digitalObjectId)

submit

ACK submit (Identifier digitalObjectId)

request

Identifier request(Identifier digitalObjectId)

invoke

Identifier invoke(Identifier digitalObjectId)

progress

Identifier progress(Identifier digitalObjectId)

publishSubscribe

Identifier publishSubscribe(Identifier digitalObjectId)

3.4.13 **NEGOTIATE_INTERFACE**

The Negotiate Interface is a well-defined entry point for the Negotiate object class. The interface requires an agreeStrategy and a chooseAdapter method. It is an element of the Abstraction Layer component. This section is normative.

3.4.14 PACKAGED INFORMATION INTERFACE

The Packaged Information Interface is a well-defined entry point for accessing Packaged Information. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.15 PROVENANCE_INFORMATION_INTERFACE

The Provenance Information Interface is a well-defined entry point for accessing Provenance Information. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.15.1 Interface Provenance_Information_Interface

• All Superinterfaces: InformationObjectInterface

PROPOSED DRAFT CCSDS RECOMMENDED STANDARD FOR [SUBJECT]

public interface ProvenanceInformationInterface extends <u>InformationObjectInterface</u>

The Provenance Information Interface is a well-defined entry point and contract for accessing Provenance Information.

METHOD SUMMARY

 METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID, setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformation, setRepresentationInformationId

3.4.16 REFERENCE INFORMATION INTERFACE

The Reference Information Interface is a well-defined entry point for accessing Reference Information. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.16.1 Interface Reference Information Interface

• All Superinterfaces: InformationObjectInterface

public interface ReferenceInformationInterface extends <u>InformationObjectInterface</u>

The Reference Information Interface is a well-defined entry point and contract for accessing Reference Information.

METHOD SUMMARY

 METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID, setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformation, setRepresentationInformationId

3.4.17 REPRESENTATION INFORMATION INTERFACE

The Representation Information Interface is a well-defined entry point for accessing Representation Information. The interface is a subclass of the Information Object Interface and inherits the getDataObject, getRepresentationInformation, putDataObject, and putRepresentationInformation methods. The interface is an element of the Abstraction Layer component. This section is normative.

3.4.17.1 Interface Representation Information Interface

• All Superinterfaces:

<u>InformationObjectInterface</u>

All Known Implementing Classes:

<u>RepresentationInformationInterfaceImpl</u>

public interface RepresentationInformationInterface

extends InformationObjectInterface

The Representation Information Interface is a well-defined entry point and contract for accessing an Information Object.

O METHOD SUMMARY

 METHODS INHERITED FROM INTERFACE INFORMATIONOBJECTINTERFACE

getDigitalObject, getDigitalObjectID, getInformationObjectID, getRepresentationInformation, getRepresentationInformationID, setDigitalObject, setDigitalObjectID, setInformationObjectID, setRepresentationInformationId

3.5 METHOD

A Method in object-oriented programming (OOP) is a procedure associated with a message and an object. This section is normative.

3.5.1 INVOKE

The Invoke interaction pattern performs an acknowledged data request operation.

3.5.2 PROGRESS

The Progress interaction pattern performs an acknowledged multi-return data request operation.

3.5.3 PUBLISHSUBSCRIBE

The PublishSubscribe interaction pattern performs a multi-provider, multi-consumer data broadcast operation.

3.5.4 REQUEST

The Request interaction pattern performs a simple request and response operation.

3.5.5 **SEND**

The Send interaction pattern performs a simple unacknowledged operation.

3.5.6 SUBMIT

The Submit interaction pattern performs a simple acknowledged operation.

3.5.7 ACCESS INFORMATION OBJECT

accessInformationObject: a method that given a Representation Information Identifier, returns the associated Information Object.

3.5.8 AGREE STRATEGY

agreeStrategy: a method that facilitates the creation of a set of OAIS-IF Adapter and Identifier types that enable interoperability between a repository and a user (possibly another repository).

3.5.9 CHOOSE ADAPTER

chooseAdapter: a method that given a negotiated strategy for interoperation, selects an OAIS-IF Adapter for inclusion into the set of set of adapters to enable interoperability.

3.5.10 GET DIGITAL OBJECT

The getDigitalObject method is an Information Object method that gets the Digital Object.

3.5.11 GET DIGITAL OBJECT ID

The getDigitalObjectID method is an Information Object method that gets the Digital Object Identifier.

3.5.12 GET INFORMATION OBJECT ID

The getInformationObjectID method is an Information Object method that gets the Information Object Identifier.

3.5.13 GET RECEIVER

getReceiver: a method that given a message gets the receiver.

3.5.14 GET REPRESENTATION INFORMATION

The getRepresentationInformation method is an Information Object method that gets the Representation Information.

3.5.15 GET REPRESENTATION INFORMATION ID

The getRepresentationInformationID method is an Information Object method that gets the Representation Information Identifier.

3.5.16 GET SENDER

getSender: a method that given a message gets the sender.

3.5.17 INGEST INFORMATION OBJECT

ingestInformationObject: a method that given an Representation Information Identifier, ingests the associated Information Object.

3.5.18 IS INFO USABLE

isInfoUsable: a method that indicates that an information object is usable.

3.5.19 ORDER REQUEST

orderRequest: a method that requests information that has been indicated to be currently available.

3.5.20 QUERY REQUEST

queryRequest: a method that returns a list of Object Representation Information IDs (ORIIDs) given a query for finding Information Object(s).

3.5.21 REQUEST PACKAGE

requestPackage: a method that requests an instance of Packaged Information.

3.5.22 REQUEST REPINFO IDS

requestRepInfoIDs: a method that requests Object Representation Information IDs (ORIIDs).

3.5.23 SEND PACKAGE

sendPackage: a method that sends an instance of Packaged Information.

3.5.24 SEND REP INFO PACKAGE

sendRepInfoPackage: a method that sends Representation information as Packaged Information.

3.5.25 SET ADAPTER

setAdapter: a method that given the Identifier of an Adapter, retrieves and makes the Adapter ready for use.

3.5.26 SET DIGITAL OBJECT

The setDigitalObject method is an Information Object method that sets the Digital Object.

3.5.27 SET DIGITAL OBJECT ID

The setDigitalObjectID method is an Information Object method that sets the Digital Object Identifier.

3.5.28 SET INFORMATION OBJECT ID

The setInformationObjectID method is an Information Object method that sets the Information Object Identifier.

3.5.29 SET REPRESENTATION INFORMATION

The setRepresentationInformation method is an Information Object method that sets the Representation Information.

3.5.30 SET REPRESENTATION INFORMATION ID

The setRepresentationInformationID method is an Information Object method that sets the Representation Information Identifier.

3.6 DATA STRUCTURE

A Data Structure is a particular way of organizing data in a computer so that it can be used efficiently. This section is informative.

3.6.1 **DOID**

The DOID object class defines an identifier which names an instance of a Data Object. (Data Object Identifier) The DOID class is a subclass of Identifier. This section is normative.

3.6.2 **IDENTIFIER**

The Identifier object class defines a token which names an instance of an object class. This section is normative.

3.6.3 **ORIID**

The ORIID object class defines an Identifier which names an instance of Representation Information. (Object Representation Information ID). The ORIID class is a subclass of Identifier. This section is normative.

3.7 APPLICATION

Application software is a program or group of programs designed for end users. This section is informative.

3.7.1 CONSUMER ARCHIVE APPLICATION

The Consumer Archive Application object class represents an application for use by a user performing the role of a consumer in a data archive. The Consumer Archive Application negotiates for Adapters via the Negotiate service and makes requests for Information Packages or Dissemination Information Packages through the Access service. As an application it may invoke OAIS Interoperability Framework services either through functions calls by consuming the Framework or through an Application Programming Interface (API) provided for the Framework. The Consumer Archive Application is an element of the Consumer Application Layer.

3.7.2 PRODUCER ARCHIVE APPLICATION

The Producer Archive Application object class represents an application for use by a user performing the role of a producer in a data archive. The Producer Archive Application negotiates for Adapters via the Negotiate service and ingests Submission Information Packages through the Ingest service. As an application it may invoke OAIS Interoperability Framework services either through functions calls by consuming the Framework or through an Application Programming Interface (API) provided for the Framework. The Producer Archive Application is an element of the Producer Application Layer.

3.8 SERVICE

A service is a software component that performs work that benefits another, primarily by listening for and responding to data requests from other software components. This section is informative.

3.8.1 ACCESS

The Access object class provides the functions necessary to locate and retrieve Information Packages and Dissemination Information Packages through the Archive Interface. It implements the Access Interface that functions through selected specialized Adapters. The Ingest class is an element of the Consumer and Producer Interface components. This section is normative.

3.8.2 INGEST

The Ingest object class provides the functions necessary to accept Submission Information Packages and register them through the Archive Interface. It implements the Ingest Interface that that functions through selected specialized Adapters. The Ingest class is an element of the Consumer and Producer Interface components. This section is normative.

3.8.3 LOCAL ACCESS

The Local Access object class provides the functions to locate and retrieve information packages in the Archive. The Local Access class is an element of the Archive Interface

component. A special Adapter must address any OAIS-IF requirement that is not met by a corresponding Local Access instance. This section is informative.

3.8.4 LOCAL_INGEST

The Local Ingest object class provides the functions to accept information packages and register them in the Archive. A special Adapter must address any OAIS-IF requirement that is not met by a corresponding Local Ingest instance. The Local Ingest class is an element of the Archive Interface component. This section is informative.

3.8.5 **NEGOTIATE**

The Negotiate object class provides the functions necessary to identify and choose specialized Adapters that are mutually acceptable to interoperate between the client and the archive. The Negotiate class implements the Negotiate Interface which provides agreeStrategy and chooseAdapter methods . The Negotiate service is an element of the Consumer Interface component. This section is normative.

ANNEX A

IMPLEMENTATION CONFORMANCE STATEMENT (ICS) PROFORMA

(NORMATIVE)

A1 INTRODUCTION

A1.1 OVERVIEW

This annex provides the Implementation Conformance Statement (ICS) Requirements List (RL) for an implementation of [Specification]. The ICS for an implementation is generated by completing the RL in accordance with the instructions below. An implementation claiming conformance must satisfy the mandatory requirements referenced in the RL.

A1.2 ABBREVIATIONS AND CONVENTIONS

The RL consists of information in tabular form. The status of features is indicated using the abbreviations and conventions described below.

Item Column

The item column contains sequential numbers for items in the table.

Feature Column

The feature column contains a brief descriptive name for a feature. It implicitly means "Is this feature supported by the implementation?"

Status Column

The status column uses the following notations:

_	M	mandatory;	
_	O	optional;	
_	C	conditional;	
_	X	prohibited;	
_	I	out of scope;	
_	N/A	not applicable.	

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Support Column Symbols

The support column is to be used by the implementer to state whether a feature is supported by entering Y, N, or N/A, indicating:

- Y Yes, supported by the implementation.
- N No, not supported by the implementation.
- N/A Not applicable.

The support column should also be used, when appropriate, to enter values supported for a given capability.

A1.3 INSTRUCTIONS FOR COMPLETING THE RL

An implementer shows the extent of compliance to the Recommended Standard by completing the RL; that is, the state of compliance with all mandatory requirements and the options supported are shown. The resulting completed RL is called an ICS. The implementer shall complete the RL by entering appropriate responses in the support or values supported column, using the notation described in A1.2. If a conditional requirement is inapplicable, N/A should be used. If a mandatory requirement is not satisfied, exception information must be supplied by entering a reference Xi, where i is a unique identifier, to an accompanying rationale for the noncompliance.

A2 ICS PROFORMA FOR [SPECIFICATION]

A2.1 GENERAL INFORMATION

A2.1.1 Identification of ICS

A2.1.1.1 Test

Date of Statement (DD/MM/YYYY)	
ICS serial number	
System Conformance statement cross-reference	

A2.1.2 Identification of Implementation Under Test

Implementation Name	
Implementation Version	
Special Configuration	

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Other Information	

A2.1.3 Identification of Supplier

Supplier	
Contact Point for Queries	
Implementation Name(s) and Versions	
Other information necessary for full identification, e.g., name(s) and version(s) for machines and/or operating systems;	
System Name(s)	

A2.1.4 Identification of Specification

[CCSDS Document Number]	
Have any exceptions been required?	Yes [] No []
NOTE – A YES answer means that the implementation does not conform to the Recommended Standard. Non-supported mandatory capabilities are to be identified in the ICS, with an explanation of why the implementation is non-conforming.	

A2.2 REQUIREMENTS LIST

[See CCSDS A20.1-Y-1, CCSDS Implementation Conformance Statements (Yellow Book, Issue 1, April 2014).]

ANNEX B

SECURITY, SANA, AND PATENT CONSIDERATIONS (INFORMATIVE)

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- B1.1 SECURITY CONCERNS WITH RESPECT TO THE CCSDS DOCUMENT
- **B1.1.1** Data Privacy
- **B1.1.2** Data Integrity
- **B1.1.3** Authentication of Communicating Entities
- **B1.1.4** Control of Access to Resources
- **B1.1.5** Availability of Resources
- **B1.1.6** Auditing of Resource Usage
- B1.2 POTENTIAL THREATS AND ATTACK SCENARIOS
- B1.3 CONSEQUENCES OF NOT APPLYING SECURITY TO THE TECHNOLOGY

B2 SANA CONSIDERATIONS

[See CCSDS 313.0-Y-1, Space Assigned Numbers Authority (SANA)—Role, Responsibilities, Policies, and Procedures (Yellow Book, Issue 1, July 2011).]

B3 PATENT CONSIDERATIONS

[See CCSDS A20.0-Y-4, CCSDS Publications Manual (Yellow Book, Issue 4, April 2014).]



ANNEX C

INFORMATIVE REFERENCES

(INFORMATIVE)

[C1] Reference Model For An Open Archival Information System (OAIS). Issue 2.1. CCSDS Record (Pink Book), CCSDS 650.0-P-2.1. Washington, D.C.: CCSDS, October 2020.

